



#45.5.W.H. 5/20/02

Docket No.: 5266P007

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

MASATO OTSUKA, ET AL.

Application No.: 10/014,123

Filed: October 26, 2001

For: **METHOD AND SYSTEM FOR  
SEAMLESS PLAYBACK OF  
VIDEO/AUDIO DATA AND USER  
AGENT DATA**

Art Group: 2651

Examiner:

**RECEIVED**

MAR 13 2002

**Technology Center 2600****INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 CFR § 1.56 and in accordance with 37 C.F.R. § 1.97, enclosed is a copy of Information Disclosure Citation Form PTO/SB/08. Copies of the references cited on PTO/SB/08 are enclosed herewith. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

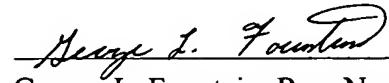
Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any additional fee due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

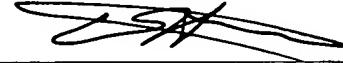
Date: February 28, 2002

  
George L. Fountain, Reg. No. 36,374

12400 Wilshire Blvd., 7th Floor  
Los Angeles, California 90025  
(714) 557-3800

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Eric Hoover

02/28/02

Date

**Substitute for form 1449A/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(use as many sheets as necessary)*

## Sheet

1

01

1

**Complete if Known**

|                        |                  |
|------------------------|------------------|
| Application Number     | 10/014,123       |
| Filing Date            | October 26, 2001 |
| First Named Inventor   | Masato Otsuka    |
| Group Art Unit         | 2651             |
| Examiner Name          |                  |
| Attorney Docket Number | 5266P007         |

## U.S. PATENT DOCUMENTS

**RECEIVED**

MAR 13 2002

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## FOREIGN PATENT DOCUMENTS

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

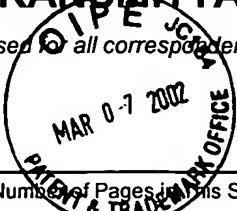
and not considered. Include copy of this form with next communication.  
\*Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR

2651

**TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)



|  |                      |
|--|----------------------|
| Application No.                          | 10/014,123           |
| Filing Date                              | October 26, 2001     |
| First Named Inventor                     | Masato Otsuka        |
| Group Art Unit                           | 2651                 |
| Examiner Name                            | RECEIVED             |
| Total Number of Pages in This Submission | 6                    |
| Attorney Docket Number                   | 5266P007 MAR 13 2002 |

Total Number of Pages in This Submission

6

Attorney Docket Number

MAR 13 2002

Technology Center 2600

**ENCLOSURES (check all that apply)** Fee Transmittal Form Assignment Papers  
(for an Application) After Allowance Communication  
to Group Fee Attached Drawing(s) Appeal Communication to Board  
of Appeals and Interferences Amendment / Response Licensing-related Papers Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief) After Final Petition Proprietary Information Affidavits/declaration(s) Petition to Convert a  
Provisional Application Status Letter Extension of Time Request Power of Attorney, Revocation  
Change of Correspondence Address Other Enclosure(s)  
(please identify below): Express Abandonment Request Terminal DisclaimerPTO/SB/08; copy of the  
cited reference Information Disclosure Statement Request for Refund Certified Copy of Priority  
Document(s) CD, Number of CD(s) Response to Missing Parts/  
Incomplete Application

Remarks

 Response to Missing  
Parts under 37 CFR  
1.52 or 1.53The total number of pages listed above does not include the  
number of pages in the cited reference.**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm  
or  
Individual name

George L. Fountain, Reg. No. 36,374

BLAKELY, SOKOLOFF, TAYLOR &amp; ZAFMAN LLP

Signature

Date

February 28, 2002

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February 28, 2002

Typed or printed name

Eric Hoover

Signature

Date

February 28, 2002

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

**TOTAL AMOUNT OF PAYMENT** **(\\$)**

### Complete if Known

|                      |                  |
|----------------------|------------------|
| Application Number   | 10/014,123       |
| Filing Date          | October 26, 2001 |
| First Named Inventor | Masato Otsuka    |
| Examiner Name        |                  |
| Group/Art Unit       | 2651             |
| Attorney Docket No.  | 5266P007         |

### METHOD OF PAYMENT (check one)

Check  Credit card  Money Order  Other  None

Deposit Account

Deposit Account Number **02-2666**

Deposit Account Name **Blakely, Sokoloff, Taylor & Zafman LLP**

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of the application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

### FEE CALCULATION

#### 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 101          | 740      | 201          | 370      | Utility filing fee     |          |
| 106          | 330      | 206          | 165      | Design filing fee      |          |
| 107          | 510      | 207          | 255      | Plant filing fee       |          |
| 108          | 740      | 208          | 370      | Reissue filing fee     |          |
| 114          | 160      | 214          | 80       | Provisional filing fee |          |
| SUBTOTAL (1) |          | (\$)         |          |                        |          |

#### 2. EXTRA CLAIM FEES

| Total Claims | 60 | Independent Claims | 8 | Extra Claims | Fee from below | Fee Paid |
|--------------|----|--------------------|---|--------------|----------------|----------|
|              |    |                    |   | **           | =              |          |
|              |    |                    |   |              | X              |          |
|              |    |                    |   |              | =              |          |
|              |    |                    |   |              |                |          |

| Large Entity |          | Small Entity |          | Fee Description   | Fee Paid |
|--------------|----------|--------------|----------|---|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |   |          |
| 103          | 18       | 203          | 9        | Claims in excess of 20                                    |          |
| 102          | 84       | 202          | 42       | Independent claims in excess of 3                         |          |
| 104          | 280      | 204          | 140      | Multiple Dependent claim, if not paid                     |          |
| 109          | 84       | 209          | 42       | **Reissue independent claims over original patent         |          |
| 110          | 18       | 210          | 9        | **Reissue claims in excess of 20 and over original patent |          |
| SUBTOTAL (2) |          | (\$)         |          |   |          |

\*\*or number previously paid, if greater. For Reissues, see below

#### 3. ADDITIONAL FEES

| Large Entity | Fee Code                           | Fee (\$) | Small Entity | Fee Code | Fee (\$) | Fee Description  | Fee Paid                         |
|--------------|------------------------------------|----------|--------------|----------|----------|--|----------------------------------|
|              | 105                                | 130      |              | 205      | 65       | Surcharge - late filing fee or delay                                       |                                  |
|              | 127                                | 50       |              | 227      | 25       | Surcharge - late provisional filing fee or cover sheet                     |                                  |
|              | 139                                | 130      |              | 139      | 130      | Non-English specification  |                                  |
|              | 147                                | 2,520    |              | 147      | 2,520    | For filing a request for ex parte reexamination                            |                                  |
|              | 112                                | 920*     |              | 112      | 920*     | Requesting publication of SIR prior to Examiner action                     |                                  |
|              | 113                                | 1,840*   |              | 113      | 1,840*   | Requesting publication of SIR after Examiner action                        |                                  |
|              | 115                                | 110      |              | 215      | 55       | Extension for reply within first month                                     |                                  |
|              | 116                                | 400      |              | 216      | 200      | Extension for reply within second month                                    |                                  |
|              | 117                                | 920      |              | 217      | 460      | Extension for reply within third month                                     |                                  |
|              | 118                                | 1,440    |              | 218      | 720      | Extension for reply within fourth month                                    |                                  |
|              | 128                                | 1,960    |              | 228      | 980      | Extension for reply within fifth month                                     |                                  |
|              | 119                                | 320      |              | 219      | 160      | Notice of Appeal   |                                  |
|              | 120                                | 320      |              | 220      | 160      | Filing a brief in support of an appeal                                     |                                  |
|              | 121                                | 280      |              | 221      | 140      | Request for oral hearing   |                                  |
|              | 138                                | 1,510    |              | 138      | 1,510    | Petition to institute a public use proceeding                              |                                  |
|              | 140                                | 110      |              | 240      | 55       | Petition to revive - unavoidable   |                                  |
|              | 141                                | 1,280    |              | 241      | 640      | Petition to revive - unintentional   |                                  |
|              | 142                                | 1,280    |              | 242      | 640      | Utility issue fee (or reissue)   |                                  |
|              | 143                                | 460      |              | 243      | 230      | Design issue fee   |                                  |
|              | 144                                | 620      |              | 244      | 310      | Plant issue fee  |                                  |
|              | 122                                | 130      |              | 122      | 130      | Petitions to the Commissioner  |                                  |
|              | 123                                | 50       |              | 123      | 50       | Processing fee under 37 CFR 1.17(q)  |                                  |
|              | 126                                | 180      |              | 126      | 180      | Submission of Information Disclosure Stmt                                  |                                  |
|              | 581                                | 40       |              | 581      | 40       | Recording each patent assignment per property (times number of properties) |                                  |
|              | 146                                | 740      |              | 246      | 370      | Filing a submission after final rejection (37 CFR § 1.129(a))              |                                  |
|              | 149                                | 740      |              | 249      | 370      | For each additional invention to be examined (37 CFR § 1.129(b))           |                                  |
|              | 179                                | 740      |              | 279      | 370      | Request for Continued Examination (RCE)                                    |                                  |
|              | 169*                               | 900      |              | 169      | 900      | Request for expedited examination of a design application                  |                                  |
|              | Other fee (specify)                |          |              |          |          |  |                                  |
|              | * Reduced by Basic Filing Fee Paid |          |              |          |          |  |                                  |
|              |                                    |          |              |          |          |  | <b>SUBTOTAL (3)</b> <b>(\\$)</b> |

SUBMITTED BY

|                   |                           |                                   |        |           |                |
|-------------------|---------------------------|-----------------------------------|--------|-----------|----------------|
| Name (Print/Type) | George L. Fountain        | Registration No. (Attorney/Agent) | 36,374 | Telephone | (714) 557-3800 |
| Signature         | <i>George L. Fountain</i> |                                   |        | Date      | 02/28/02       |

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